



IFW/3673

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

APPLICANT: K. Waterkotte ATTORNEY'S DOCKET NO. 09796503-0163
U.S. SERIAL NO.: 10/502,070 ✓ CONFIRMATION NO. 2087
FILED: July 20, 2004
TITLE: "HEAT SOURCE OR HEAT SINK UNIT WITH THERMAL GROUND COUPLING"

COMMISSIONER OF PATENTS AND TRADEMARKS
P.O. Box 1450
Alexandria, VA 22313-1450

REQUEST FOR CORRECTED FILING RECEIPT

1. Attached is a copy of the official filing receipt received from the PTO in the above application for which issuance of a corrected filing receipt is respectfully requested.


1. There is an error in that the following data is:

- ☒ incorrectly entered and/or omitted:
☐ Applicant's address
☒ Title **Should read: HEAT SOURCE OR HEAT SINK SYSTEM WITH THERMAL GROUND COUPLING**
☐ Filing Date
☐ Serial Number
☐ Foreign/PCT Application Reference
☒ Other **Inventor only: Klemens Waterkotte (Kevin W. Guynn should not be added as an inventor - Mr. Guynn is attorney of record)**

2. (complete the following applicable item A or B)

- A. ☒ The correction is not due to any error by applicant and no fee is due.
B. ☐ The correction is due to applicant's error and the fee therefor under 37 CFR 1/19(h) of \$25.00 is paid as follows:
☐ enclosed is a check for \$25.00
☒ The Commissioner is hereby authorized to charge any additional fees which may be required to Account No. 19-3140.

Reg. No.: 29,927



Signature of Attorney

Telephone No. (312) 876-3172

Kevin W. Guynn
SONNENSCHN NATH & ROSENTHAL LLP
233 S. Wacker Drive
Sears Tower, 80th Floor
Chicago, IL 60606



CERTIFICATE OF MAILING

I hereby certify that this paper (along with any paper referred to as being attached or enclosed) is being deposited with the United States Postal Services with sufficient postage as first class mail in an envelope addressed to the: Commissioner of Patents and Trademarks, P.O. Box 1450, Alexandria, VA 22313-1450 on **December 17, 2004**.

A handwritten signature in cursive script, appearing to read "Lisa M. Gault", written over a horizontal line.

Signature of Person Mailing



UNITED STATES PATENT AND TRADEMARK OFFICE

UNITED STATES DEPARTMENT OF COMMERCE
 United States Patent and Trademark Office
 Address: COMMISSIONER FOR PATENTS
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 Alexandria, Virginia 22313-1450
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APPL NO.	FILING OR 371 (c) DATE	ART UNIT	FIL FEE REC'D	ATTY. DOCKET NO	DRAWINGS	TOT CLMS	IND CLMS
10/502,070	11/15/2004	3673	1050	09796503-0163	2	17	1

CONFIRMATION NO. 2087

26263
 SONNENSCHN NATH & ROSENTHAL LLP
 P.O. BOX 061080
 WACKER DRIVE STATION, SEARS TOWER
 CHICAGO, IL 60606-1080

FILING RECEIPT



OC000000014631792

Date Mailed: 12/01/2004

Receipt is acknowledged of this regular Patent Application. It will be considered in its order and you will be notified as to the results of the examination. Be sure to provide the U.S. APPLICATION NUMBER, FILING DATE, NAME OF APPLICANT, and TITLE OF INVENTION when inquiring about this application. Fees transmitted by check or draft are subject to collection. Please verify the accuracy of the data presented on this receipt. If an error is noted on this Filing Receipt, please write to the Office of Initial Patent Examination's Filing Receipt Corrections, facsimile number 703-746-9195. Please provide a copy of this Filing Receipt with the changes noted thereon. If you received a "Notice to File Missing Parts" for this application, please submit any corrections to this Filing Receipt with your reply to the Notice. When the USPTO processes the reply to the Notice, the USPTO will generate another Filing Receipt incorporating the requested corrections (if appropriate).

Applicant(s)

~~Kevin W. Guynn, Chicago, IL;~~ **ATTY. OF RECORD**
 Klemens Waterkotte, Herne, GERMANY;

Power of Attorney:

Kevin Guynn-29927

Domestic Priority data as claimed by applicant

This application is a 371 of PCT/EP03/00470 01/18/2003

Foreign Applications

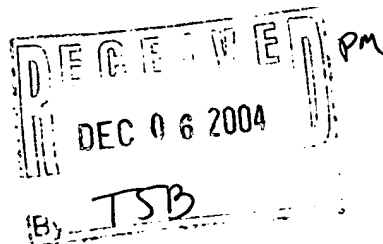
GERMANY 102 02 261.5 01/21/2002

Projected Publication Date: 03/10/2005

Non-Publication Request: No

Early Publication Request: No

Title



Heat source or heat sink unit with thermal ground coupling

Preliminary Class

405

**LICENSE FOR FOREIGN FILING UNDER
Title 35, United States Code, Section 184
Title 37, Code of Federal Regulations, 5.11 & 5.15**

GRANTED

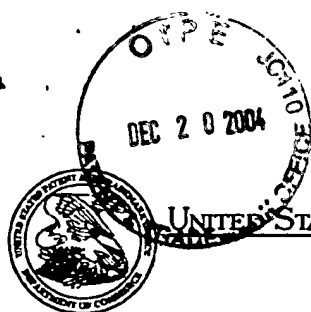
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NOT GRANTED

No license under 35 U.S.C. 184 has been granted at this time, if the phrase "IF REQUIRED, FOREIGN FILING LICENSE GRANTED" DOES NOT appear on this form. Applicant may still petition for a license under 37 CFR 5.12, if a license is desired before the expiration of 6 months from the filing date of the application. If 6 months has lapsed from the filing date of this application and the licensee has not received any indication of a secrecy order under 35 U.S.C. 181, the licensee may foreign file the application pursuant to 37 CFR 5.15(b).



UNITED STATES PATENT AND TRADEMARK OFFICE

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U.S. APPLICATION NUMBER NO.	FIRST NAMED APPLICANT	ATTY. DOCKET NO.
10/502,070	Kevin W. Guynn	09796503-0163

INTERNATIONAL APPLICATION NO.

PCT/EP03/00470

26263
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IA. FILING DATE	PRIORITY DATE
01/18/2003	01/21/2002

CONFIRMATION NO. 2087

371 ACCEPTANCE LETTER



OC000000014631793

Date Mailed: 12/01/2004

NOTICE OF ACCEPTANCE OF APPLICATION UNDER 35 U.S.C 371 AND 37 CFR 1.495

The applicant is hereby advised that the United States Patent and Trademark Office in its capacity as a Designated / Elected Office (37 CFR 1.495), has determined that the above identified international application has met the requirements of 35 U.S.C. 371, and is ACCEPTED for national patentability examination in the United States Patent and Trademark Office.

The United States Application Number assigned to the application is shown above and the relevant dates are:

<u>11/15/2004</u>	<u>11/15/2004</u>
DATE OF RECEIPT OF 35 U.S.C. 371(c)(1), (c)(2) and (c)(4) REQUIREMENTS	DATE OF COMPLETION OF ALL 35 U.S.C. 371 REQUIREMENTS

A Filing Receipt (PTO-103X) will be issued for the present application in due course. **THE DATE APPEARING ON THE FILING RECEIPT AS THE " FILING DATE" IS THE DATE ON WHICH THE LAST OF THE 35 U.S.C. 371 (c)(1), (c)(2) and (c)(4) REQUIREMENTS HAS BEEN RECEIVED IN THE OFFICE. THIS DATE IS SHOWN ABOVE.** The filing date of the above identified application is the international filing date of the international application (Article 11(3) and 35 U.S.C. 363). Once the Filing Receipt has been received, send all correspondence to the Group Art Unit designated thereon.

The following items have been received:

- Copy of the International Application filed on 07/20/2004
- English Translation of the IA filed on 07/20/2004
- Copy of the International Search Report filed on 07/20/2004
- Copy of IPE Report filed on 11/15/2004
- Preliminary Amendments filed on 07/20/2004
- Information Disclosure Statements filed on 07/20/2004
- Oath or Declaration filed on 11/15/2004
- Request for Immediate Examination filed on 07/20/2004
- U.S. Basic National Fees filed on 07/20/2004
- Substitute Specification filed on 07/20/2004
- Priority Documents filed on 11/15/2004

DEC - 3 2004

Applicant is reminded that any communications to the United States Patent and Trademark Office must be mailed to the address given in the heading and include the U.S. application no. shown above (37 CFR 1.5)

ANITA D JOHNSON
Telephone: (703) 305-3661

PART 1 - ATTORNEY/APPLICANT COPY

FORM PCT/DO/EO/903 (371 Acceptance Notice)